

Please type a plus sign (+) inside this box +

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

TI-28996.1

First Inventor

Timothy J. Hogan et al.

Title

Method for Improving Ash Rate Uniformity in Photoresist Ashing Process Equipment

Express Mail Label No.

EV 334470426 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 16]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]

5. Oath or Declaration [Total Pages 2]

- a. ☐ Newly Executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & copy documents(s))

10. ☐ 37 CFR 3.73(b) Statement [X] Power of
(when there is an assignee) Attorney (copy)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure [] Copies of IDS
Statement (IDS)/PTO-1449 Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.

17. ☒ Other: Formal Drawings (3 sheets)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 09/746,100

Prior application information: Examiner: Van, Q.

Group / Art Unit: 3742

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

023494
(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

NAME

ADDRESS

CITY

STATE

ZIP CODE

COUNTRY

TELEPHONE

(972) 917-4379

FAX

(972) 917-4418

Name (Print/Type)

Charles A. Brill

Registration No. (Attorney/Agent)

37,786

Signature

Charles A. Brill

Date

8-5-2003

FEE TRANSMITTAL for FY 2003 <small>Patent fees are subject to annual revision.</small> Express Mailing Label No.: EV 334470426 US				<i>Complete If Known</i>																																																																																																																																																																																									
TOTAL AMOUNT OF PAYMENT		(\$) 750.00		Application Number																																																																																																																																																																																									
METHOD OF PAYMENT		FEE CALCULATION (continued)		Filing Date																																																																																																																																																																																									
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 20-0668 Deposit Account Name: Texas Instruments Incorporated <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee of oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>400</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>920</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,440</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>1,960</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,280</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,280</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>460</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>620</td><td>244</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>123</td><td>130</td><td>Petitions related to Prov'l Apps (Proc. Fee under 37 CFR 1.17(q))</td><td></td></tr> <tr><td>1806</td><td>180</td><td>126</td><td>180</td><td>Submission of information Disclosure Stmt</td><td></td></tr> <tr><td>8021</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times no. of properties)</td><td></td></tr> <tr><td>1809</td><td>740</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR §1.129(a))</td><td></td></tr> <tr><td>1810</td><td>740</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR §1.129(b))</td><td></td></tr> <tr><td>1801</td><td>740</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="6">Other fee (specify) _____</td></tr> </tbody> </table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	205	65	Surcharge - late filing fee of oath		1052	50	227	25	Surcharge - late provisional filing fee or cover sheet		1053	130	139	130	Non-English specification		1812	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination		1804	920*	112	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		1251	110	215	55	Extension for reply within first month		1252	400	216	195	Extension for reply within second month		1253	920	217	445	Extension for reply within third month		1254	1,440	218	695	Extension for reply within fourth month		1255	1,960	228	945	Extension for reply within fifth month		1401	320	219	155	Notice of Appeal		1402	320	220	155	Filing a brief in support of an appeal		1403	280	221	135	Request for oral hearing		1451	1,510	138	1,510	Petition to institute a public use proceeding		1452	110	240	55	Petition to revive - unavoidable		1453	1,280	241	620	Petition to revive - unintentional		1501	1,280	242	620	Utility issue fee (or reissue)		1502	460	243	220	Design issue fee		1503	620	244	300	Plant issue fee		1460	130	122	130	Petitions to the Commissioner		1807	50	123	130	Petitions related to Prov'l Apps (Proc. Fee under 37 CFR 1.17(q))		1806	180	126	180	Submission of information Disclosure Stmt		8021	40	581	40	Recording each patent assignment per property (times no. of properties)		1809	740	246	355	Filing a submission after final rejection (37 CFR §1.129(a))		1810	740	249	355	For each additional invention to be examined (37 CFR §1.129(b))		1801	740	279	355	Request for Continued Examination (RCE)		1802	900	169	900	Request for expedited examination of a design application		Other fee (specify) _____						First Named Inventor Timothy J. Hogan <i>et al.</i>	
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																								
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																										
1051	130	205	65	Surcharge - late filing fee of oath																																																																																																																																																																																									
1052	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																									
1053	130	139	130	Non-English specification																																																																																																																																																																																									
1812	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																									
1804	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																									
1805	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																									
1251	110	215	55	Extension for reply within first month																																																																																																																																																																																									
1252	400	216	195	Extension for reply within second month																																																																																																																																																																																									
1253	920	217	445	Extension for reply within third month																																																																																																																																																																																									
1254	1,440	218	695	Extension for reply within fourth month																																																																																																																																																																																									
1255	1,960	228	945	Extension for reply within fifth month																																																																																																																																																																																									
1401	320	219	155	Notice of Appeal																																																																																																																																																																																									
1402	320	220	155	Filing a brief in support of an appeal																																																																																																																																																																																									
1403	280	221	135	Request for oral hearing																																																																																																																																																																																									
1451	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																									
1452	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																									
1453	1,280	241	620	Petition to revive - unintentional																																																																																																																																																																																									
1501	1,280	242	620	Utility issue fee (or reissue)																																																																																																																																																																																									
1502	460	243	220	Design issue fee																																																																																																																																																																																									
1503	620	244	300	Plant issue fee																																																																																																																																																																																									
1460	130	122	130	Petitions to the Commissioner																																																																																																																																																																																									
1807	50	123	130	Petitions related to Prov'l Apps (Proc. Fee under 37 CFR 1.17(q))																																																																																																																																																																																									
1806	180	126	180	Submission of information Disclosure Stmt																																																																																																																																																																																									
8021	40	581	40	Recording each patent assignment per property (times no. of properties)																																																																																																																																																																																									
1809	740	246	355	Filing a submission after final rejection (37 CFR §1.129(a))																																																																																																																																																																																									
1810	740	249	355	For each additional invention to be examined (37 CFR §1.129(b))																																																																																																																																																																																									
1801	740	279	355	Request for Continued Examination (RCE)																																																																																																																																																																																									
1802	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																									
Other fee (specify) _____																																																																																																																																																																																													
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		1. BASIC FILING FEE <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1001</td><td>750</td><td>201</td><td>355</td><td>Utility filing fee</td><td>\$750</td></tr> <tr><td>1002</td><td>330</td><td>206</td><td>160</td><td>Design filing fee</td><td></td></tr> <tr><td>1003</td><td>510</td><td>207</td><td>245</td><td>Plant filing fee</td><td></td></tr> <tr><td>1004</td><td>740</td><td>208</td><td>355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>1005</td><td>160</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="5">SUBTOTAL (1)</td><td>(\$750)</td></tr> </tbody> </table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	1001	750	201	355	Utility filing fee	\$750	1002	330	206	160	Design filing fee		1003	510	207	245	Plant filing fee		1004	740	208	355	Reissue filing fee		1005	160	214	75	Provisional filing fee		SUBTOTAL (1)					(\$750)	2. EXTRA CLAIM FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Extra Claims</th> <th colspan="2">Fee from below</th> <th colspan="2">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>12</td> <td>-20** =</td> <td>0</td> <td>X</td> <td>18</td> </tr> <tr> <td>Independent Claims</td> <td>2</td> <td>-3** =</td> <td>0</td> <td>X</td> <td>84</td> </tr> <tr> <td colspan="4"></td> <td></td> <td>270</td> </tr> <tr> <td colspan="4">Multiple Dependent</td> <td></td> <td></td> </tr> </tbody> </table>		Extra Claims		Fee from below		Fee Paid		Total Claims	12	-20** =	0	X	18	Independent Claims	2	-3** =	0	X	84						270	Multiple Dependent																																																																																																																					
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																								
1001	750	201	355	Utility filing fee	\$750																																																																																																																																																																																								
1002	330	206	160	Design filing fee																																																																																																																																																																																									
1003	510	207	245	Plant filing fee																																																																																																																																																																																									
1004	740	208	355	Reissue filing fee																																																																																																																																																																																									
1005	160	214	75	Provisional filing fee																																																																																																																																																																																									
SUBTOTAL (1)					(\$750)																																																																																																																																																																																								
Extra Claims		Fee from below		Fee Paid																																																																																																																																																																																									
Total Claims	12	-20** =	0	X	18																																																																																																																																																																																								
Independent Claims	2	-3** =	0	X	84																																																																																																																																																																																								
					270																																																																																																																																																																																								
Multiple Dependent																																																																																																																																																																																													
2. EXTRA CLAIM FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1202</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>1201</td><td>84</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>1203</td><td>280</td><td>204</td><td>135</td><td>Multiple dependent claim, if not paid</td><td></td></tr> <tr><td>1204</td><td>84</td><td>209</td><td>40</td><td>**Reissue independent claims over original patent</td><td></td></tr> <tr><td>1205</td><td>18</td><td>210</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td><td></td></tr> <tr><td colspan="5">SUBTOTAL (2)</td><td>(\$) 0</td></tr> </tbody> </table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	1202	18	203	9	Claims in excess of 20		1201	84	202	40	Independent claims in excess of 3		1203	280	204	135	Multiple dependent claim, if not paid		1204	84	209	40	**Reissue independent claims over original patent		1205	18	210	9	**Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)					(\$) 0	3. SUBTOTAL (3) (\$) 0 <small>*Reduced by Basic Filing Fee Paid</small>																																																																																																																																																	
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																								
1202	18	203	9	Claims in excess of 20																																																																																																																																																																																									
1201	84	202	40	Independent claims in excess of 3																																																																																																																																																																																									
1203	280	204	135	Multiple dependent claim, if not paid																																																																																																																																																																																									
1204	84	209	40	**Reissue independent claims over original patent																																																																																																																																																																																									
1205	18	210	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																																									
SUBTOTAL (2)					(\$) 0																																																																																																																																																																																								
<small>**or number previously paid, if greater; For Reissue, see above</small>																																																																																																																																																																																													
SUBMITTED BY Name (Print/Type): Charles A. Brill Signature: <i>Charles A. Brill</i>		Registration No. (Attorney/Agent): 37,786 Telephone: (972) 917-4379		Complete (if applicable) Date: 8-5-2003																																																																																																																																																																																									

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231